



CDH Securities Limited

Wisdom!

(Licensed Dealing Member of the Ghana Stock Exchange)

CORPORATE ACCOUNT OPENING FORM

Depository Participant No.

Name

Company Location

Address

Town | Country:

Date of Incorporation | Nationality:

Residential Status Resident Ghanaian Resident Foreigner Non-Resident Ghanaian Non-Resident Foreigner

Tel. | Fax. | Email

Type of business

Certificate of Incorporation No. | Place of Issue

Existing Client ID (if applicable)

Tick where applicable (✓) Local Company Foreign Company

Bank information of the investor for Dividend, Interest and Maturity Disposal Instructions

Bank Name | Branch

Account No.

Statement (tick where applicable) Email Text message via mobile phone

Contact Persons

1. Name | Mobile | Email

2. Name | Mobile | Email

DECLARATION

I/We hereby:

(i) request to open and maintain a Security Account in my/our name

(ii) affirm that all information on the forms are correct

(iii) undertake to notify this Depository Participant of any change of particulars or information provided by me in this form

1. Name | Signature | Date DD / MM / YYYY

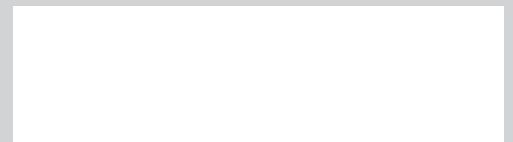
2. Name | Signature | Date DD / MM / YYYY

For Depository Participant Use Only

Verified by Name | Signature

Date DD / MM / YYYY

Securities Acct.No.



Seal

CDH SECURITIES LIMITED

CDH House, No. 36 Independence Avenue, North-Ridge
P. O. Box 14911, Accra, Ghana | Tel: 030 267 1050 / 028 997 1050
Email: cdhsecurities@cdhgroup.co | Website: securities.cdhgroup.co

ADDITIONAL INFORMATION

(A) CORPORATE INFORMATION

Source of income

Company's Funds Employee's Funds Client's Fund Approximate Annual Turnover GH¢

What is your investment objective?

To plan for retirement To generate other revenue To build reserves To build wealth
 Other (please explain)

(B) INVESTMENT BACKGROUND

Which of these investments have you owned before? (tick where appropriate)

Treasury bills Shares Bonds Mutual funds Other (specify)

Preferred investment instrument

Treasury bills Fixed deposit Shares Bonds

How long do you intend to keep the investment?

Less than 1yr 4yrs – 10yrs
 1yr – 3yrs More than 10yrs

Your initial investment amount?

(1) What Ghana Cedi amount are you considering for this investment type? GH¢

(2) Are you registering your share certificates? Yes No

What is your risk tolerance level? (Risk appetite)

Low Moderate High

What is your overall knowledge of investment?

Low Medium High

Account mandate

Discretionary Non-discretionary

If discretionary, please fill the discretionary agreement and power of attorney forms.

Note: All clients who want to use email as official communication for their transactions should fill the email indemnity form

I/We hereby

- request to open and maintain an account for securities in my/our name(s).
- affirm that all information on the form are correct.
- undertake to notify CDH Securities Ltd of any change of particulars or information provided by me/us in this form.

I/We have read thoroughly and understood the content of this application and have given my/our consent by virtue of my/our signature(s) on the form. That the information provided solely serves as a guide to CDH Securities Limited on my/our preferences in providing me/us with investment advice. Investment decisions are my/our prerogative without sole reliance on investment advice received from CDH Securities Ltd. CDH Securities Limited accepts no liability for any direct or consequential loss arising from my/our decision. I/We also declare that all debits incurred on my/our brokerage account(s) by virtue of my/our trade orders shall be settled accordingly.

1. Name Signature Date

2. Name Signature Date

(C) SIGNATURE SPECIMEN

1. Signature

2. Signature

(D) FOR OFFICE USE ONLY (tick where applicable)

Account opening requirements:

ID of signatories Board resolution Passport size pictures of signatories Copy of company Regulations
 Copy of certificate of incorporation / commencement Signature specimen of signatories

Received by

Name

Signature

CDH SECURITIES LIMITED

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